



*See for \$*

PATENT  
81876.0022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takashi NAIKI

Serial No: 09/900,701

Filed: July 5, 2001

For: A PORTABLE INFORMATION APPARATUS HAVING COMMUNICATIONS TOOLS, A CONTROL SYSTEM FOR CONTROLLING SUCH PORTABLE INFORMATION APPARATUS, AND AN APPARATUS HAVING SUCH CONTROL SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated October 5, 2001, response to which is due December 5, 2001, enclosed are the following:

- A copy of the "Notice to File Missing Parts of Application Filing Date Granted."
- A "Declaration and Power of Attorney" executed by the inventor(s).
- An English language specification including 21 pages of Specification, total of 12 Claims with 4 independent claims, 1 page of Abstract, and 4 Sheets of Formal Drawings.
- A check in the amount of \$130.00 to cover the surcharge for late filing of the English language specification.
- A check in the amount of \$824.00 in payment of the filing fee.
- A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: October 25, 2001

By:

*Lawrence J. McClure*  
Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

Art Unit: Not assigned

Examiner: Not assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Commissioner for Patents	
Washington D.C. 20231, on	
October 25, 2001	
Date of Deposit	
Lawrence J. McClure	
Name	<i>Lawrence J. McClure</i>
Signature	<i>Lawrence J. McClure</i>
Date 10/25/01	



UNITED STATES PATENT AND TRADEMARK OFFICE

O P E R A T I O N  
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P A T E N T & T R A D E M A R K O F F I C E

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,701	07/05/2001	Takashi Naiki	81876.0022

**CONFIRMATION NO. 3950**

**FORMALITIES LETTER**



\*OC000000006854510\*

000026021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

Date Mailed: 10/05/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- The English translation fee of \$ 130 as set forth 37 CFR 1.17(k) was not paid.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

01/14/2002 KZENIE 00000046 09900701  
 01 FC.101  
 02 FC.105  
 03 FC.128  
 04 FC.102

see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice **MUST** be returned with the reply.*

*M. Yuen*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE